

**To:** Newton Glassman[nglassman@catcapital.com]  
**From:** Vincent Hanna[vincent\_h@runbox.com]  
**Sent:** Mon 8/21/2017 6:43:36 PM (UTC)  
**Subject:** Re: Attacks on Callidus

Thank you, Mr. Glassman.

On Mon, 21 Aug 2017 17:14:34 +0000, Newton Glassman <nglassman@catcapital.com> wrote:

> Jim riley and counsel confirmed for 4pm. Thanks again.

>

> Newton Glassman

> Managing Partner

> Catalyst Capital Group Inc.,

> Bay Wellington Tower

> 181 Bay St.,

> Suite 4700 PO Box 792

> Toronto Ontario Canada

> M5J 2T3

>

> Office: (416)945-3030

> Fax:(416)945-3060

>

> Sent from my iPhone

>

> On Aug 21, 2017, at 12:59 PM, Vincent Hanna

<vincent\_h@runbox.com<mailto:vincent\_h@runbox.com>> wrote:

>

> Mr. Glassman,

>

> We are amenable to your list of attendees. Thank you very much for the transparency and your continued communications.

>

> Sincerely,

> Mr. Hanna

>

> On Mon, 21 Aug 2017 15:57:58 +0000, Newton Glassman

<nglassman@catcapital.com<mailto:nglassman@catcapital.com>> wrote:

>

> Thank u mr Hanna. Given the below and on further reflection, as an act of good faith on our part and as a vote of confidence in u (as well as appreciation for your efforts to date), we will be sending mr riley (principal as well as general counsel) and one/possibly 2, counsel. I am sorry but logistics regarding which counsel can attend etc is complicating the issue and we do not want to delay any further, as well as given the possibility or further mtngs on wed.

>

> Again, it will be 4pm @ 36 toronto st, suite 1120. Attending:

>

> Mr Phillips

> Jim riley

>

> One or 2 of the following:

>

> Jon Levin

> Rocco dipucchio

> One additional lawyer

>  
> Thanks again. Looking fwd to the debrief.  
>  
> Hope all is well.  
>  
> N.,  
>  
>  
> Newton Glassman  
> Managing Partner  
> Catalyst Capital Group Inc.,  
> Bay Wellington Tower  
> 181 Bay St.,  
> Suite 4700 PO Box 792  
> Toronto Ontario Canada  
> M5J 2T3  
>  
> Office: (416)945-3030  
> Fax:(416)945-3060  
>  
> Sent from my iPhone  
>  
> On Aug 21, 2017, at 11:33 AM, Vincent Hanna  
<vincent\_h@runbox.com<mailto:vincent\_h@runbox.com><mailto:vincent\_h@runbox.com>> wrote:  
>  
> Mr. Glassman,  
>  
> At this time, Mr. Phillips will be the only one in attendance at this meeting today.  
>  
> Sincerely,  
> Mr. Hanna  
>  
>  
> On Mon, 21 Aug 2017 15:18:20 +0000, Newton Glassman  
<nnglassman@catcapital.com<mailto:nnglassman@catcapital.com><mailto:nnglassman@catcapital.com>>  
wrote:  
>  
> Ok. Confirmed for counsel only today and re-group after I am de-briefed. Would be helpful to know if  
mr Phillips is attending alone. If alone, we would send at most 2 lawyers. If others from your side r  
intended to attend, we would adjust accordingly. Plse therefore confirm who will attend on your behalf  
(and how many in total).  
>  
> Confirmed one or more of the following will attend at 4pm, 36 toronto st., suite 1120:  
>  
> Jon Levin, Faskens  
>  
> Rocco DiPucchio, Lax O'Sullivan  
>  
> One additional lawyer (have not been able to reach and therefore no idea of availability).  
>  
> Possibly but very unlikely now-jim riley.  
>  
> Thanks again. I am, at minimum, looking fwd to the de-brief.  
>  
> Hope all is well.  
>

> N.,  
>  
> Newton Glassman  
> Managing Partner  
> Catalyst Capital Group Inc.,  
> Bay Wellington Tower  
> 181 Bay St.,  
> Suite 4700 PO Box 792  
> Toronto Ontario Canada  
> M5J 2T3  
>  
> Office: (416)945-3030  
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> Sent from my iPhone  
>  
> On Aug 21, 2017, at 11:02 AM, Vincent Hanna  
<vincent\_h@runbox.com<mailto:vincent\_h@runbox.com><mailto:vincent\_h@runbox.com><mailto:vincent\_h@runbox.com>> wrote:  
>  
> Mr. Glassman,  
>  
> For today, counsel only and we can pick it up together after your counsel briefs you on the meeting today.  
>  
> Sincerely,  
> Mr. Hanna  
>  
>  
> On Mon, 21 Aug 2017 14:56:49 +0000, Newton Glassman  
<nglassman@catcapital.com<mailto:nglassman@catcapital.com><mailto:nglassman@catcapital.com><mailto:nglassman@catcapital.com>> wrote:  
>  
> Mtng is for sure confirmed. Only issue is the following: R u suggesting mtng as btwn counsel ONLY, or r u suggesting that u and I as principals attend as well now? Plse clarify. Either way, we confirm a mtng of some sort (counsel only OR counsel + principals--up to u) at 4pm today at 36 toronto st. Suite 1120.  
>  
> Thanks again.  
>  
> N.,  
>  
> Newton Glassman  
> Managing Partner  
> Catalyst Capital Group Inc.,  
> Bay Wellington Tower  
> 181 Bay St.,  
> Suite 4700 PO Box 792  
> Toronto Ontario Canada  
> M5J 2T3  
>  
> Office: (416)945-3030  
> Fax:(416)945-3060  
>  
> Sent from my iPhone  
>  
> On Aug 21, 2017, at 10:52 AM, Vincent Hanna

<vincent\_h@runbox.com<mailto:vincent\_h@runbox.com><mailto:vincent\_h@runbox.com><mailto:vincent\_h@runbox.com><mailto:vincent\_h@runbox.com>> wrote:

>

> Dear Mr. Glassman,

>

> We think the meeting of counsel at this time makes sense. We will move forward with others when appropriate.

>

> We will let Mr. Phillips know of your counsel's arrival attending at 4PM ET at the designated location stated below.

>

> Please confirm today's meeting at 4PM ET at 36 Toronto St., Suite 1120.

>

> Sincerely,

> Mr. Hanna

>

>

> On Mon, 21 Aug 2017 14:18:45 +0000, Newton Glassman  
<nglassman@catcapital.com<mailto:nglassman@catcapital.com><mailto:nglassman@catcapital.com><mailto:nglassman@catcapital.com>> wrote:

>

> Thank u, Mr Hanna. The following has now been req'd, unfortunately, by our counsel. In the event u choose to attend, I will be there personally w our counsel. In the event u r not going to attend, one or more of the following will be in attendance w mr Phillips at 4pm:

>

> Jon Levin (Faskens)

> Rocco DiPucchio (Lax O'Sullivan)

> One additional lawyer

>

> All of the above are familiar w both the situation and the status/involvement of the authorities on our behalf. The additional lawyer's sole role has been helping the authorities, in particular helping us to get the authorities more involved and in a more expeditious manner. It's not 100% clear if he is or will be avail at 4pm.

>

> In the event Mr Phillips believes an officer of the co is req'd, mr jim riley (coo and general counsel of catalyst; a director of callidus and lawyer on its behalf as well) may be available but again the same issue as to whether 'principals' attend or just counsel arises.

>

> Thank u for setting this up and plse advise how u would like to proceed. Either way, looking fwd to our two sides finally mtng and hopefully moving fwd--preferably as quickly as possible.

>

> Hope all is well.

>

> N.,

>

> Newton Glassman

> Managing Partner

> Catalyst Capital Group Inc.,

> Bay Wellington Tower

> 181 Bay St.,

> Suite 4700 PO Box 792

> Toronto Ontario Canada

> M5J 2T3

>

> Office: (416)945-3030

> Fax:(416)945-3060

>  
> Sent from my iPhone  
>  
> On Aug 21, 2017, at 9:36 AM, Vincent Hanna  
<vincent\_h@runbox.com<mailto:vincent\_h@runbox.com><mailto:vincent\_h@runbox.com><mailto:vincent\_h@runbox.com><mailto:vincent\_h@runbox.com>>> wrote:  
>  
> Mr. Glassman,  
>  
> Would you be amenable to a meeting today at 4PM ET? The name of the attorney you will be meeting is John Phillips of Waddell Phillips. The address is 36 Toronto St., Suite 1120. In order to prepare for proper introductions and your arrival, would you please provide the name of your counsel that will be attending as well? I am sure you both will find this to be a valuable, meaningful and productive meeting. Please let me know as soon as possible so I can coordinate.  
>  
> Sincerely,  
> Mr. Hanna  
>  
> On Mon, 21 Aug 2017 12:40:20 +0000, Newton Glassman  
<nglassman@catcapital.com<mailto:nglassman@catcapital.com><mailto:nglassman@catcapital.com><mailto:nglassman@catcapital.com><mailto:nglassman@catcapital.com>>> wrote:  
>  
> Good morning mr Hanna. We would strongly prefer to meet this afternoon if at all remotely possible. Given your approach, which is understandable, I will bring counsel w me as well-hence the strong preference for this aft versus tomorrow afternoon. Our counsel has asked me to enquire as to the name of your counsel that will be attending. Since said counsel will be in attendance imminently anyway, such information in advance of the mtng would be most appreciated if at all possible.  
>  
> Wed should be fine, assuming the first mtng goes well.  
>  
> Thank U for the assistance and look fwd to mtng today (or tomorrow if this aft truly is not possible).  
  
>  
> Hope all is well.  
>  
> N.,  
>  
> Newton Glassman  
> Managing Partner  
> Catalyst Capital Group Inc.,  
> Bay Wellington Tower  
> 181 Bay St.,  
> Suite 4700 PO Box 792  
> Toronto Ontario Canada  
> M5J 2T3  
>  
> Office: (416)945-3030  
> Fax:(416)945-3060  
>  
> Sent from my iPhone  
>  
> On Aug 21, 2017, at 8:06 AM, Vincent Hanna  
<vincent\_h@runbox.com<mailto:vincent\_h@runbox.com><mailto:vincent\_h@runbox.com><mailto:vincent\_h@runbox.com><mailto:vincent\_h@runbox.com>>> wrote:

>  
> Dear Mr. Glassman,  
>  
> Given today's schedule, let's plan on Tuesday at 3:30PM ET. If we agree on this day and time, I will provide you with a location in the city not far from your office to meet. You will be meeting the attorney that has been intimately involved in this matter and due to the sensitive nature of this case, I have been advised by legal counsel to remain anonymous at this point, given what is at stake and what this group has been doing to manipulate people's positions in the stock market. We are very happy you have approached authorities as we have done so as well over the past 2 years and we too hope action by the authorities is imminent. We telegraphed the authorities regarding the attack on your publicly traded vehicle before it happened.  
>  
> Let's plan on an 11:00AM ET meeting on Wednesday as well if your schedule permits.  
>  
> Mr. Glassman, you do not need to have an attorney present. We will be giving you valuable information regarding these criminal activities and our only objective is to give you the information to protect yourself and to bring these criminals to justice. If you feel more comfortable having your attorney present, please do so.  
>  
> It is safe to say, we both have friends in common and would like to see justice prevail.  
>  
> Sincerely,  
> Mr. Hanna  
>  
>  
>  
>  
> On Mon, 21 Aug 2017 01:16:02 +0000, Newton Glassman  
<n~~g~~lassman@catcapital.com<mailto:n~~g~~lassman@catcapital.com><mailto:n~~g~~lassman@catcapital.com><mailto:n~~g~~lassman@catcapital.com><mailto:n~~g~~lassman@catcapital.com><mailto:n~~g~~lassman@catcapital.com><mailto:n~~g~~lassman@catcapital.com>> wrote:  
>  
> Thank u for your email, mr Hanna. To be clear and as we have stated publicly, we have met w the authorities so clearly by definition we continue to seriously consider pursuing this matter; what and/or when the authorities formally act is by definition beyond our control but we have strong reasons to believe they will in fact act and likely to do so sooner rather than later.  
>  
> mid to late afternoon Monday as well as any time wed work fine. I am confused though on a few issues:  
>  
> 1. Do u mean u r bringing counsel or u would like me to bring counsel (u mentioned an attorney). If u r bringing counsel-which is completely fine/approp-- I too will bring counsel.  
>  
> 2. When u mention the 'q2 letter', do u mean callidus q2 release or the catalyst q2 letter.  
>  
> 3. Since I am currently out of town and would be traveling to come meet tomorrow, what part of the city do u suggest we meet in?  
>  
> Look fwd to seeing u tomorrow assuming the logistics can be worked out sooner rather than later and in time for me to make approp travel plans.  
>  
> N.,  
>  
> Newton Glassman  
> Managing Partner  
> Catalyst Capital Group Inc.,

> Bay Wellington Tower  
> 181 Bay St.,  
> Suite 4700 PO Box 792  
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> Sent from my iPhone  
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> On Aug 20, 2017, at 8:18 PM, Vincent Hanna  
<vincent\_h@runbox.com<mailto:vincent\_h@runbox.com><mailto:vincent\_h@runbox.com><mailto:vincent\_h@runbox.com><mailto:vincent\_h@runbox.com><mailto:vincent\_h@runbox.com><mailto:vincent\_h@runbox.com><mailto:vincent\_h@runbox.com><mailto:vincent\_h@runbox.com>> wrote:  
>  
> Dear Mr. Glassman,  
>  
> I hope all is well. Could we meet in Toronto late Monday after 1:15PM ET or Tuesday after 3:00PM ET with an attorney this week? If either of these times do not fit your schedule, please let me know a suitable time you could meet. Also, if all goes well and you are still interested in pursuing these criminals targeting Callidus, we would also like you to meet another gentleman in Toronto on Wednesday. A time is yet to be finalized for the proposed meeting on Wednesday and can move either of these dates and times to fit your schedule.  
>  
> We also saw your Q2 letter and recommend you exercise discretion on these matters until we can both come up with a game plan. Please let me know about the initial meeting on either Monday or Tuesday. If either of these times work, we will come up with a mutual place to meet.  
>  
> We look forward to hearing from you.  
>  
> Sincerely,  
> Mr. Hanna  
>  
>  
> On Tue, 15 Aug 2017 18:10:31 +0000, Newton Glassman  
<nglassman@catcapital.com<mailto:nglassman@catcapital.com><mailto:nglassman@catcapital.com><mailto:nglassman@catcapital.com><mailto:nglassman@catcapital.com><mailto:nglassman@catcapital.com><mailto:nglassman@catcapital.com><mailto:nglassman@catcapital.com>> wrote:  
>  
> Thoughts?  
>  
> Newton Glassman  
> Managing Partner  
> Catalyst Capital Group Inc.,  
> Bay Wellington Tower  
> 181 Bay St.,  
> Suite 4700 PO Box 792  
> Toronto Ontario Canada  
> M5J 2T3  
>  
> Office: (416)945-3030  
> Fax:(416)945-3060  
>  
> Sent from my iPhone  
>

> On Aug 13, 2017, at 6:38 PM, Newton Glassman  
<nglassman@catcapital.com<mailto:nglassman@catcapital.com><mailto:nglassman@catcapital.com><mailto:nglassman@catcapital.com><mailto:nglassman@catcapital.com><mailto:nglassman@catcapital.com><mailto:nglassman@catcapital.com><mailto:nglassman@catcapital.com><mailto:nglassman@catcapital.com>> wrote:

>  
> We r for sure open to a 'face to face'. However, we think some documentation or hard evidence in advance of such would be most helpful. Assuming such is avail in advance, I can make myself avail at our offices tomorrow in the early afternoon. If u prefer a different location, so long as it's public and downtown, we can likely make it work. We will of course treat this confidentially and meeting w me and/ jim riley directly is not a problem.

>  
> Hope all is well.

>  
> N.,

>  
> Newton Glassman  
> Managing Partner  
> Catalyst Capital Group Inc.,  
> Bay Wellington Tower  
> 181 Bay St.,  
> Suite 4700 PO Box 792  
> Toronto Ontario Canada  
> M5J 2T3

>  
> Office: (416)945-3030  
> Fax:(416)945-3060

>  
> Sent from my iPhone

>  
> On Aug 13, 2017, at 11:53 AM, Vincent Hanna  
<vincent\_h@runbox.com<mailto:vincent\_h@runbox.com><mailto:vincent\_h@runbox.com><mailto:vincent\_h@runbox.com><mailto:vincent\_h@runbox.com><mailto:vincent\_h@runbox.com><mailto:vincent\_h@runbox.com><mailto:vincent\_h@runbox.com>> wrote:

>  
> Dear Mr. Glassman

>  
> Would you be open to a face to face meeting? Given the sensitivity of the current situation, we would appreciate your complete discretion in this matter. We value your privacy as well and will do the same. If this makes sense, please let us know specific times that would work on your schedule. We can come to you or meet at a mutually agreed location. Given the sensitivity in this matter, we would prefer to deal directly with you.

>  
> Sincerely,  
> Mr. Hanna

>  
>  
> On Sat, 12 Aug 2017 22:26:06 +0000, Newton Glassman  
<nglassman@catcapital.com<mailto:nglassman@catcapital.com><mailto:nglassman@catcapital.com><mailto:nglassman@catcapital.com><mailto:nglassman@catcapital.com><mailto:nglassman@catcapital.com><mailto:nglassman@catcapital.com><mailto:nglassman@catcapital.com>> wrote:

>  
> Mr Hanna. Thanks so much for the below. Indeed, as much information as possible and anything u may know or have in your possession would be deeply appreciated. Thank U for thinking of us.

>





> Greg Boland - WestFace Capital.  
> Roland Keiper - Clearwater Capital.  
> Sunny Puri/Moez Kassam - Anson Partners.  
> Shawn Kimmel - K2 Partners  
> Principals - MMCAP  
> Marc Cohodes - US Short Seller and his huge global network.  
>  
> I am disgusted that this acting in concert is going on and happening to you and other participants in the Canadian Capital Markets and I write this letter to inform you of such.  
>  
> If I were you I would sue the above groups and from that you will garner access to all their trading records and communications between them. From this you will then be fed additional information. This will lead the perpetrators down a rabbit hole they will not escape from. But in the end that is up to you. You now have this information. There will be more to come. Stay tuned.  
>  
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>  
> ----- End Forwarded Message -----  
>  
> DISCLAIMER: This e-mail (including any attachments) may be confidential and is intended only for the use of the addressee(s). If you are not an addressee, please inform the sender immediately and destroy this e-mail. Do not copy, use or disclose this e-mail. E-mail transmission cannot be guaranteed to be secure or error free, and the sender does not accept liability for any errors or omissions in the contents of this message which may arise as a result of e-mail transmission.  
>  
>  
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>  
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>  
>  
> DISCLAIMER: This e-mail (including any attachments) may be confidential and is intended only for the use of the addressee(s). If you are not an addressee, please inform the sender immediately and destroy this e-mail. Do not copy, use or disclose this e-mail. E-mail transmission cannot be guaranteed to be secure or error free, and the sender does not accept liability for any errors or omissions in the contents of this message which may arise as a result of e-mail transmission.  
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>  
> DISCLAIMER: This e-mail (including any attachments) may be confidential and is intended only for the use of the addressee(s). If you are not an addressee, please inform the sender immediately and destroy this e-mail. Do not copy, use or disclose this e-mail. E-mail transmission cannot be guaranteed to be secure or error free, and the sender does not accept liability for any errors or omissions in the contents of this message which may arise as a result of e-mail transmission.  
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> DISCLAIMER: This e-mail (including any attachments) may be confidential and is intended only for the use of the addressee(s). If you are not an addressee, please inform the sender immediately and destroy this e-mail. Do not copy, use or disclose this e-mail. E-mail transmission cannot be guaranteed to be secure or error free, and the sender does not accept liability for any errors or omissions in the contents of this message which may arise as a result of e-mail transmission.

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> DISCLAIMER: This e-mail (including any attachments) may be confidential and is intended only for the use of the addressee(s). If you are not an addressee, please inform the sender immediately and destroy this e-mail. Do not copy, use or disclose this e-mail. E-mail transmission cannot be guaranteed to be secure or error free, and the sender does not accept liability for any errors or omissions in the contents of this message which may arise as a result of e-mail transmission.